Approved for use through 07/31/06. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

·						
UTILITY	Attorr	ney Docket No.	00833- P0042A			
PATENT APPLICATION		nventor	Barry V.L. Potter, et al.			
TRANSMITTAL	Title	Therapeutics				
ofor now populational applications under 37 CED 1 53/h))	Evore	ss Mail Label No		EL 550 002 604		

(Only for ne	w nonprovisional	applications under	37 CFR 1.53(b))	Express	Mail i	Label	No.	EI	_ 55	0 092	604 U	s o
See MPE		ATION EL	EMENTS patent application c	ontents	ADD	RESS	TO:	Mail Stop Commiss P.O. Box Alexando	sionei 1450	for Pat	ents	U.S. P. 30589
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)						7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
2.	Applicant clair See 37 CFR	ms small entity 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)									
з. 🔀	Specification	•	otal Pages 57	1	a. [Comput	er Readable	Form	(CRF)		
		ement set forth bel	•		b.	: Spe	cification	Sequence L	isting	on:		
		ences to Relat	ention led Applications sponsored R & D)	i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper							
	- Reference to	o sequence list er program list			c. Statement verifying identity of above copies							
	- Background	of the Invention	on		ACCOMPANYING APPLICATION PARTS							
	 Brief Summa Brief Descrip 	ary of the Inve	ntion awings <i>(if filed)</i>		9.		Assignm	nent Papers	(cove	r sheet	& docume	ent(s))
	Detailed DesClaims(s)	scription			10. [3.73(b) Stat nere is an as			1 1	ver of orney
_	- Abstract of the	he Disclosure			11. [-	Translation (nent (if		•
4.	Drawings(s) (35 USC 113)	[Total Sheets	6 J	12.	\boxtimes		tion Disclosu nt (IDS)/PT0		9	101.	oies of IDS
5. , Oath or	Declaration		[Total Sheets	0 1	13.	\boxtimes		ary Amendm				
	a. New	dy executed (o	riginal or copy)		14.	abla		Receipt Post			503)	
is.			plication (37 CFR		15.		Certified	ne specifical Copy of Prionity is o	ority [Docume	ent(s)	
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventors(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						Nonpublication Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.						
6.	Application Da		17. OtherPCT/GB02/02695 June 6, 2002									
18. If a CO	NTINUING API	PLICATION, ch	eck appropriate box	x and suppl	y the re	quisite i	information	n below and in	the fi	rst sente	nce of the .	specification
following the	title, or in an Ap	plication Data Si	heet under 37 CFR	1.76:								
Continuation Divisional Continuation-in-part (Prior application information: Examiner Group/Art Unit:						CIP) of prior application No.: PCT/GB02/02695 June 6, 2002 Priority Claimed: GB 0113923.7 June 7, 2001						
For CONTINU	ne prior application, from which an oath or declaration is supplied under Box											
5b, is conside	red a part of the	disclosure of the	ne accompanying co portion has been in	ontinuation	or divis	ional a	pplication	and is hereby	incorp	porated b	y reference	. The
	_		18. COR	RESPON	DENC	E ADD	RESS					
⊠ Custom	er Number:		2412	26				OR 🛚	Corre	sponde	nce addre	ess below
Name	Stephen F	. McNamar	а									
Address		200	nston & Reen	s LLC						·	-	
	986 Bedfo	rd Street										
City Stamford				State	te CT Zip Code 06905-5619					619		
Country	United Sta	ites		7	elepho	ne 2	203 324	-6155	F	ax :	203 327	-1096
Name (Print	/Туре)	Stephen P	. McNamara		Regis	Registration No. (Attorney/Agent) 32,745						5
					Date 12/8/03							
Signature .											,	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 ND 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231-1450.

Complete if Known

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1662	3 - U	32	PIORANSMILIAL						cation N	0.		- Pending				
					Filing	Date			December 8, 2003							
For FY 2003					First Named Inventor				Barry V.L. Potter, et al.							
1279.80301/01/2003. Patent fees are subject to annual revision					on	Exam	iner Na	me								
Applicant claims small entity status, See 37 CFR 1.27						Art U	nit	 								
TOTAL AMOUNT OF PAYMENT (\$) 2,520.0						0.00	Attorr	Attorney Docket Number 00833-P0042A SPM/MWK								
METHOD OF PAYMENT (check all that apply)								FEE CALCULATION (continued)								
Check Credit Card Money Other None						3. AD	3. ADDITIONAL FEES									
Deposit Account: order					Large	Entity	Small	Entity)	Fee Descriptio	_	Fee Paid				
Do	it	neit [Fee	Fee	Fee	Fee		ree Descriptio	11	reeraid		
Acc	oosit ount			19-4516	Code	(\$)	Code	(\$)		<u> </u>						
	nber						1051	130	2051	65	Surcharge -	Surcharge – late filing for or oath				
Acc	oosit ount me	St.C	nge Ste	ward Johnston & Re	eens l	.rc	1052	. 50	2052	25	Surcharge - cover sheet	· · · · · · · · · · · · · · · · · · ·				
The Dire	ector is	authoriz	ed to: (c	heck all that apply)			1053	130	1053	130	Non-English	specification				
	arge fee	e(s) indic	ated bel	ow 🛛 Credit ar	ıy ove	rpayments	1812	2,520	1812	2,520	For filing a re	request for ex parte reexamination				
_				during the pendenc	•		1804	920*	1804	920°		Requesting publication of SIR prior to Examiner action				
				elow, except for the osit account	e filin	g fee	1805	1,840*	1805	1,840*		esting publication of SIR after				
FEE CA	LCULA	TION					1251	110	2251	55		n for reply within first month				
1. BA	SIC FIL	ING F	EE		1252	40	2252	210	Extension fo	or reply within sec	ond month					
Large I		_	Entity				1253	950	2253	475		or reply within third				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	1254	1,450	2254	725		or reply within four						
1101	770	2001	385	Utility filing fee		385.00	1255 1401	1,970 330	2255 2401	985 165	Notice of Ap	or reply within fifth noeal	monin			
1002	340	2202	170	Design filing fee		000.00	1402	330	2402	165		n support of an ap	peal			
1003	530	2003	265	Plant filing fee			1403	290	2403	145		oral hearing				
1004 1005	770 160	2004	385 80	Reissue filing fee Provisional filing fe	_ }		1451 1452	1,510 110	1451 2452	1,510 55		nstitute a public us evive - unavoidabl				
		2000	SUBTO		1453	1,330	2453	665		Petition to revive - unintentional						
2. EX1	SUBTOTAL (1) (\$) 385.00 FRA CLAIMS FEES FOR UTILITY AND REISSUE						1501	1,330	2501	665	1	Utility issue fee (or reissue)				
	Extra Fee from Fee Paid						1502	480	2502	240	Design issue fee					
Total Cla	aims	65 -2	~	Claims below				640	2503	320	Plant issue fee					
ndepen Claims	dent		3**	0 X	=		1460	130	1460	130	Petitions to	etitions to the Commissioner				
	Depend			12 145.00	= [1,740.00	1807	50	1807	50	Processing	Processing fee under 37 CFR 1.17(q)				
							1806	180	1806	180	Submission of Information Disclosure Stmt					
Large E	Entity	Small	Entity				8021	40	8021	40	Recording e property (time					
Fee Code	Fee (\$)	Fee Code	Fee Code	Fee Des	cripti	on	1809	770	2809	385		mission after final				
1201	18	2202	9	Claims in excess of	of 20		1810	770	2810	385	For each ad	each additional invention to be nined (37CFR 1.129(b))				
1201	86	2201	43	Independent claims	in exc	ess of 3	1801	770	2801	385	Request for	Request for Continued Examination (RCE)				
1203	290	2203	145	Multiple dependent	1802	900	1802	900	Request for expedited examination of a design application							
1204	86	2204	43	**Reissue indepen original patent	Othe	r fee (spe	ecify)									
1205	18	2205	9	**Reissue claims in over original paten	ess of 20			•				A A				
SUBTOTAL (2) (\$) 2,145.00 or number previously paid, if greater; For Reissues, see above							*Reduce	ed by Basic Filing Fee Paid SUBTOTAL (3) (\$)						-0-		
SUBMI	TTED	вү	St.Ong	ge Steward John	ston	& Reens L	LC					Comp	lete (if applica	ble)		
Name (Print/Type) Stephen P. McNamara								Registratio (Attorney/		32	2,745	Telephone 203 324-6155				
Signature												Date 12/8/2003				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

This collection of information is required by 37CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering of information, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, Patent and Trademark Office, U.S. Department of Commerce; P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 8-800-PTO-9199 and select option 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Barry V.L. Potter, et al.
Serial No Pending	December 8, 2003
Title of Application:	Therapeutics

Mail Stop Patent Application Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Cover Sheet For Six Sheets Of Drawings

Attorneys for Applicants
Stephen P. McNamara, Registration No. 32,745
Michael W. Krenicky, Registration No. 45,411
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT 06905-5619
203 324-6155